



联合国
粮食及
农业组织

Food and Agriculture
Organization of the
United Nations

Organisation des Nations
Unies pour l'alimentation
et l'agriculture

Продовольственная и
сельскохозяйственная организация
Объединенных Наций

Organización de las
Naciones Unidas para la
Alimentación y la Agricultura

منظمة
الأغذية والزراعة
للأمم المتحدة

COMMISSION ON PHYTOSANITARY MEASURES

Thirteenth Session
Rome, 16-20 April 2018
Work plan and budget of the IPPC Secretariat for 2018
Agenda item 15.3
Prepared by the IPPC Secretariat

I. Introduction

1. In 2014, the IPPC Secretariat Enhancement Evaluation Recommendation no. 1 stated that: “One annual work plan and budget for the Secretariat should be developed and approved by the Bureau and it should include clear and achievable objectives, with a detailed as possible breakdown of activities, and required resources in terms of both staff and funding...”

2. In April 2016, CPM-11 adopted and noted the IPPC Secretariat Work Plan and Budget for 2016, which was the first IPPC Secretariat work plan and budget developed according to the Enhancement evaluation and the first budget to feature numerous additions and improvements. Practice proved that well planned and more transparent work plan and budget for 2016 enabled the Secretariat to better monitor the progress of activities while making sure that costs are under control. This experience was followed by a successful adoption of the IPPC Secretariat Work Plan and Budget for 2017 at CPM-12, held in the Republic of Korea.

3. In June 2017, the CPM Bureau and Financial Committee (FC) intensively discussed the way forward on the IPPC Secretariat Work Plan and Budget for 2018. Bureau and FC proposed several improvements to the work plan and budget format, that included prioritization of the IPPC Work plan based on the Convention and the IPPC Strategic framework and moving the budget process one year ahead.

- *Prioritization of activities in the Work plan and Budget* aims to make sure that funds are allocated based on priorities of the CPM, help IPPC Secretariat secure funding resources

This document is printed in limited numbers to minimize the environmental impact of FAO's processes and contribute to climate neutrality. Delegates and observers are kindly requested to bring their copies to meetings and to avoid asking for additional copies. Most FAO meeting documents are available on the Internet at www.fao.org

based on priorities and ensure that in case of lack of funds, priorities are funded first. In order to facilitate this exercise, each of the three pillars has been given 33 points to score their activities as relevant to the IPPC Strategic framework (possible scores range from: 1 - minimum relevance; 2 - medium relevance; 3 - high relevance; X – mandatory).

- The reason of moving *the budget adoption by CPM one year ahead* is due to the timing of the CPM sessions. In general, CPM occurs in March or April each year when the annual WP and Budget are adopted. However, de-facto annual budget execution has already started in January. This practically means that budget is being executed without being adopted by CPM for 3-4 months (it is important to note here that Bureau approves the WP and Budget in December of the previous year, but it still not being adopted by CPM until March/April). Therefore, the Bureau and FC agreed that that CPM should adopt the WP and Budget of the IPPC Secretariat for the subsequent year, not the current year.

4. After the Bureau and FC meetings in June 2017, the IPPC Secretariat initiated a thorough process to develop the work plan and budget for 2018 which is even more transparent, comprehensive and reflective of the recommendations from the Enhancement Evaluation and inputs from the Bureau and FC. At the direction of the IPPC Secretary, the Core Team of the IPPC Secretariat developed the 2018 Work Plan and Budget for the IPPC Secretariat to be discussed and approved at the CPM Bureau and FC meetings in October 2017.

II. Major Outcomes/Outputs

5. The Work Plan and Budget (WPB) of the IPPC Secretariat for 2018 fully implements the Enhancement Evaluation Recommendations, Bureau and FC suggestions and takes into account all core activities of the IPPC Secretariat, composed of three main pillars as shown in (Appendix 1). The Overall Outcome of execution of the 2018 WPB of the IPPC Secretariat is expected to further advance the IPPC mission and contribute to global efforts in protecting food security and environment while facilitating trade.

A. Governance and Management (Activity 1 in Appendix 1)

6. *Outcome of Governance and Management:* This core activity will further enable the IPPC Contracting Parties (CPs) to participate in the IPPC processes, provide their inputs and receive feedback and support from the IPPC Secretariat. Acting as an open forum for discussion on plant health issues focused on standard setting and implementation of the Convention, the IPPC Secretariat is expected to enable smooth facilitation of the IPPC processes in order for the CPs to make progress in the area of plant health.

7. As shown in Appendix 1, the main deliverable in 2018 being supported under governance is the organization of relevant meetings of the IPPC governing bodies: 13th Session of the Commission on Phytosanitary Measures (CPM-13), and meetings of the CPM Bureau and the Financial Committee. Main outputs include the presentation and translation of draft ISPMs for CPM-14 (2019), 3 meetings for CPM Bureau and FC, 2 meetings of the Standards Committee (SC) and 1 SC-7 meeting, and 2 meetings for the Implementation Committee (IC) (Appendix 1).

8. Strategic discussion on the IPPC topics is also embedded in the work plan through the organization and facilitation of the meeting of the Strategic Planning Group (SPG). The IPPC Secretariat is also strategically positioning itself by planning its activities for the 2020-2030 period. Apart from providing facilities for the meetings, the IPPC Secretariat will be organizing travel of participants from the least developed and developing countries to attend the IPPC meetings or events.

9. The IPPC Secretariat is expected to put more efforts in Communications and Advocacy through applying new Online Comment System (OCS), a new Online Registration System and Secretariat SharePoint platform, updating phytosanitary.info and PCE tool as necessary, organizing IPPC seminars, issuing IPPC news, and publishing advocacy material. The IPPC Secretariat will be strengthening its network by organizing 7 IPPC regional workshops with active participation of most NPPOs, and the

Technical Consultations among RPPOs (TC-RPPO) meetings with active involvement of all RPPOs. Resources will be mobilized for the promotion of the International Year of Plant Health 2020, and the funding-raising for the IPPC Secretariat will be continuously strengthened. In addition, initiation of the fourth round of ISPM 15 symbol registration is also expected to be performed (Appendix 1).

10. *Governance and Management pillar has been given 34 points in the prioritization exercise. Prioritization exercise marked CPM as mandatory, while CPM Bureau and FC meetings and Resource mobilization have been given high priority.*

B. Standard Setting (Activity 2 in Appendix 1)

11. *Outcome of Standard Setting: This core activity is to develop harmonized international standards through a transparent and inclusive process to meet the needs of CPs. The IPPC is the only international standard setting organization for plant health recognized by the World Trade Organization, and the IPPC standards provide a harmonized framework contributing to sustainable agriculture and food security, protection of the environment, and trade facilitation.*

12. The major deliverables for the Standard Setting in 2018 are the following (Appendix 1):

- 1) *Identification and Prioritization of Topics:* Organize a call for phytosanitary treatments and process submissions; list of topics (LOT) updated in 6 languages twice a year; and make other documents and tools updated and available as needed, such as the Procedure manual for standard setting, style guide, etc.
- 2) *Drafting and Expert input:* Support for one Expert Working Group (Guidance on pest risk management); support for Technical Panels with three face-to-face meetings organized (possibly three depending on CPM-12 decision); and carry out intersessional work.
- 3) *Consultation:* Organize consultation processes through the online comment system on draft specifications and draft standards to ensure all views are collected.
- 4) *Adoption:* Ensure publication of specifications and standards in languages; organize Language Review Group (LRG) process for four languages as adopted ISPMs; manage seven co-publishing agreements according to the procedure; and revoke the versions of recently adopted standards for remaining languages.

13. *Standard setting pillar has been given 33 points in the prioritization exercise. Prioritization exercise marked all four major outputs listed above almost equally important to the IPPC Strategic framework.*

C. Implementation Facilitation (Activity 3 in Appendix 1)

14. *Outcome of Implementation Facilitation: This core activity is to further increase the ability and capacities of CPs and their National Plant Protection Organizations (NPPOs) to cope with plant health issues in an informed, inclusive and effective manner.*

15. The major deliverables for the Implementation Facilitation in 2018 are the following (Appendix 1):

- 1) *Capacity Development (CD):* Produce technical manuals, guidelines, e-learning; organize and conduct side sessions, workshops and trainings; carry out internal workshops at CPM and through IPPC projects; formulate and implement projects on capacity development; and run the pilot project on surveillance.
- 2) *Implementation Review and Support System (IRSS):* Make proposals of IPPC recommendations; promote desk studies by evaluation and feedback on desk studies and technical resources; and carry out M&E programme.

- 3) *National Reporting Obligations (NROs)*: Develop NRO capacities in CPs and support to travel; improved NRO delivery through greater participation of CPs and the introduction of an NRO quality advice system
 - 4) *Dispute Avoidance and Settlement*: Develop a dispute avoidance and settlement eLearning module; promote in-country liaison and training.
 - 5) *Tools and Technologies*: Promote country application of PCE; develop PCE environmental module, IPPC implementation indicators, and monitoring and evaluation framework tool; and implement the pilot project on ePhyto and coordinate Task force on Sea Containers.
16. *Implementation facilitation pillar has been given 33 points in the prioritization exercise. Prioritization exercise marked Capacity development, IRSS, IPPC Tools and ePhyto as highly relevant to the IPPC Strategic framework.*

III. Budget Allocations

17. The budget proposal for 2018 includes balanced resource allocations among the three pillars in order to ensure that standard setting work is continued, while implementation facilitation work is strengthened, and integration and support work is highlighted.

A. FAO Regular Programme (RP)

18. The allotment to the IPPC Secretariat for 2018 is USD 2.95 million, and the Secretariat work plan and budget for 2018 is allocated without any budget deficit for the regular programme (Appendix 1). Approximately 33% (USD 1 million) of the FAO Regular programme allotment is allocated to each of the three main activities of the Secretariat: Governance and Management, Standard Setting, and Implementation Facilitation. Total staff costs amount to 68% (USD 2 million) of the allotment, while operational costs are estimated at the level of 32% (USD 0.95 million) for 2018 (Appendix 1).

B. IPPC Multi-Donor Trust Fund (MDTF)

19. The budget of USD 1.55 million is proposed. A quarter of the IPPC Multi-donor trust fund resources (25% or USD 388 thousand) are allocated to the Governance and Management, while Standard Setting and Implementation facilitation pillars were allocated 8% (USD 127 thousand) and 67% (USD 1.038 thousand) of the total budget, respectively. (It should be noted that certain Standard setting activities are an integral part of Governance and Management.) Total staff costs amount to 52% (USD 807 thousand), while operational costs are expected to reach 48% (USD 746 thousand) of the total budget (Appendix 1).

C. IPPC Projects

20. The budget for the IPPC Projects implemented by the IPPC Secretariat in 2018 amounts to USD 1.6 million (Appendix 1), mainly including the EU Project (725/EC), the EU IRSS Project (877/EC), the STDF ePhyto project (688/STF), the Japan ePhyto Support project (827/JPN) and the FAO-China SSC Project (291/CPR). Short description of projects is available in Appendix 2.

D. IPPC In-Kind Support

21. Expected IPPC In-kind contributions for 2018 will amount to USD 0.57 million. Such In-kind contributions are provided to the Secretariat by CPs or relevant organizations as non-monetary contributions but are translated into dollar values for the purpose of proper budgeting and transparency (Appendix 1).

IV. Conclusions and Suggestions

22. The IPPC Secretariat Work Plan and Budget for 2018 proposes targeted allocations and activities needed to drive the IPPC Secretariat to improved results, greater achievements and increased

capacity for serving IPPC CPs within foreseen financial and personnel parameters. Demand for the Secretariat services is rising and at the same time budget pressure is increasing. A reorganized, reshaped and regrouped Secretariat is envisaged to operate with high efficiency and optimal effectiveness within the resources available.

23. Due to the increased demand for its services, the IPPC Secretariat is faced with resource constraints, limiting its ability to be fully sustainable in financial terms. Efforts are being made by the CPM Bureau, FC and SPG to find the most appropriate mechanism that would enable the IPPC Secretariat to operate without continuous struggle for resources.

24. Despite the overarching issues, the proposed budget aims to realistically represent the work plan for 2018, and every single budget line aims to further improve products and services that the IPPC Secretariat provides to the CPs. The IPPC Secretariat Work Plan and Budget for 2018 is expected to make one step forward in advancing the IPPC mission and promote organizational excellence towards the vision of “One IPPC”.

25. The CPM is invited to:

- 1) *approve* the “IPPC Secretariat Work Plan and Budget for 2018”